## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL							
OMB Number:	3235-0287						
Estimated average	burden						
houre per reenonee	0.5						

longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Ty	pe Response	es)																
1. Name and Address of Reporting Person * Fleming Stephen M.				2. Issuer Name and Ticker or Trading Symbol Workhorse Group Inc. [WKHS]								5	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) C/O WORKHORSE GROUP INC., 100 COMMERCE DRIVE					3. Date of Earliest Transaction (Month/Day/Year) 12/14/2020													
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)								6	6. Individual or Joint/Group Filing(Check Applicable Line) X_Form filed by One Reporting PersonForm filed by More than One Reporting Person					
LOVELAND, OH 45140 (City) (State) (Zip)				Table I - Non-Derivative Securities Acqui								ities Acquir	ired, Disposed of, or Beneficially Owned					
(Instr. 3) Da		2. Transaction Date (Month/Day/Yea	2A. Deemed Execution Date, if any (Month/Day/Year)		(Instr. 8)		on 4. Securities According or Disposed of (Instr. 3, 4 and 5)		(D) 5)				6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership				
				(IVIOI	1111/1	Jay/ I car,		ode	v	Amount	(A) (D)	or	(mstr. 3 and 4)			or Indirect (I) (Instr. 4)		
Common share	Stock, \$0	0.001 par value po	12/14/2020					S		164,796	D C	1) \$ 21.92	399,750			D		
Common	ommon Stock, \$0.001 par value per		er 12/14/2020					М	:	84,375	A	\$ 1.19	564,546			D		
			Table I					cquired,	, Disp	posed of, or	r Be	neficially O						
1. Title of Derivative Security (Instr. 3)		*****	3A. Deemed Execution Date, if	4. Transac Code	<b>put</b> tion	s, calls, v	varra ber vative es	cquired,	Dispons, con Daniel	posed of, or convertible cisable and ate	OMI r Be	eneficially Ourities) 7. Title an	wned ad Amount of	8. Price of Derivative Security (Instr. 5)	9. Number o	of 10. Owners Form o	f Beneficia	
(	Derivative Security			(	,	or Dispo of (D) (Instr. 3 and 5)	osed								Owned Following Reported Transaction(s	Security Direct ( or Indir (s) (I)	(Instr. 4) ect	
				Code	v	(A) (	(D)	Date Exercisa	able	Expiration Date	n	Title	Amount or Number of Shares		(Instr. 4)	(Instr. 4	·)	
Stock Options	\$ 1.19	12/14/2020		M		84	,375	08/23/2	2018	8 08/08/2	2023	Commo Stock, 3 \$0.001 par valu per shar	84,375.00	\$ 0	65,625	D		
Repor	ting O	wners																
					Re	elationsh	ips											
Reporting Owner Name / Address			10%															

Other

## LOVELAND, OH 45140

**Signatures** 

Fleming Stephen M.

C/O WORKHORSE GROUP INC.

100 COMMERCE DRIVE

/s/ Stephen M. Fleming	12/16/2020
**Signature of Reporting Person	Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

10%

Owner

Officer

Vice President/General Counsel

Director

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b-5 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.