

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0104 Estimated average burden hours per response... 0.5

#### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type Responses)  |  | -   |  |  |  |
|--|--|---|--|--|--|
| 1. Name and Address of Reporting Person <sup>*</sup><br>Ackerson Gregory T.                        | 2. Date of Event Requiring<br>Statement (Month/Day/Year)<br>11/12/2019 | 3. Issuer Name and Ticker or Trading Symbol<br>Workhorse Group Inc. [WKHS]                                  |  |  |  |
| (Last) (First) (Middle)<br>C/O WORKHORSE GROUP INC., 100<br>COMMERCE DRIVE                         | 11/12/2019   | 4. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)<br>Director 10% Owner         |  |  | 5. If Amendment, Date Original<br>Filed(Month/Day/Year)  |
| (Street)<br>LOVELAND, OH 45140   |  | X         Officer (give title         Other (specified)           below)         Interim CFO and Controller |  | cify   | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_Form filed by One Reporting Person<br>Form filed by More than One Reporting Person |
| (City) (State) (Zip)   | Table I - Non-Derivative Securities Beneficially Owned                 |   |  |  |  |
| 1. Title of Security     2. Amount of Security       (Instr. 4)     Beneficially Own<br>(Instr. 4) |  |   | 3. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |  |
| Common Stock   | 104,166 (1)  |   | D  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| (Instr. 4)        | Expiration Date<br>(Month/Day/Year) |                    | Securities Underlying<br>Derivative Security |                               | or Exercise<br>Price of | Form of                                     | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|-------------------|-------------------------------------|--------------------|--|-------------------------------|-------------------------|---|---|
|                   | Date<br>Exercisable                 | Expiration<br>Date | Title  | Amount or<br>Number of Shares | In                      | Direct (D) or<br>Indirect (I)<br>(Instr. 5) |   |
| Stock Options (2) | 08/14/2022                          | 08/14/2023         | Common<br>Stock                              | 10,000                        | \$ 1.19                 | D   |   |

### **Reporting Owners**

|   |          | Relationships |                            |       |  |  |  |
|---|----------|---------------|----------------------------|-------|--|--|--|
| Reporting Owner Name / Address  | Director | 10%<br>Owner  | Officer                    | Other |  |  |  |
| Ackerson Gregory T.<br>C/O WORKHORSE GROUP IN<br>100 COMMERCE DRIVE<br>LOVELAND, OH 45140 | C.       |               | Interim CFO and Controller |       |  |  |  |

## Signatures

| /s/ Gregory Ackerson            | 11/15/2019 |
|---------------------------------|------------|
| **Signature of Reporting Person | Date       |

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 104,166 shares of stock granted under a Restricted Stock Award of which 23,148 vest January 1, 2020, July 1 2020, January 1, 2021, July 1, 2021 and 5,787 vest January 1, 2022 and July 1, 2022.
- (2) Stock Options vest quarterly in an amount of 625 commencing August 14, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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